

State of Hawaii
DEPARTMENT OF LAND AND NATURAL RESOURCES
Division of Aquatic Resources
Honolulu, Hawaii 96813

April 24, 2009

Board of Land
and Natural Resources
Honolulu, Hawaii

Request for Authorization and Approval to Issue a Papahānaumokuākea Marine National Monument Research Permit to Matthew Craig, University of Hawaii, Hawaii Institute of Marine Biology, for Access to State Waters to Conduct Reef Fish Life History Research Activities

The Division of Aquatic Resources (DAR) hereby submits a request for your authorization and approval for issuance of a Papahānaumokuākea Marine National Monument research permit to Matthew Craig, assistant researcher, Hawaii Institute of Marine Biology, pursuant to § 187A-6, Hawaii Revised Statutes (HRS), chapter 13-60.5, Hawaii Administrative Rules (HAR), and all other applicable laws and regulations.

The research permit, as described below, would allow entry and activities to occur in the Papahānaumokuākea Marine National Monument (Monument), including the NWHI State Marine Refuge and the waters (0-3 nautical miles) surrounding the following sites:

- Nihoa Island
- Necker Island (Mokumanamana)
- French Frigate Shoals
- Gardner Pinnacles
- Maro Reef
- Laysan Island
- Lisianski Island
- Pearl and Hermes Atoll
- Kure Atoll State Seabird Sanctuary

The activities covered under this permit would occur from June 1, 2009 through August 31, 2009.

The proposed activities are a renewal of work previously permitted and conducted in the Monument.

INTENDED ACTIVITIES

The applicant proposes to collect two species of reef fishes in order to compile life history data (age, growth, reproduction) that is currently unknown. These data would be used to determine 1)

the relationship between age and growth, 2) the age/size at first sexual maturity, and 3) the relationships among morphological measurements commonly used in fisheries science (e.g., standard length to total length, length to weight).

The two reef fish species that the applicant proposes to examine (aweo'weo and 'u'u) have been identified as important to both the ecology of Hawaii's coral reefs and to the fishing preference of many residents of the state of Hawaii. These species represent two commonly taken reef species in Hawaii, and represent the meso-carnivorous trophic level that is not well represented by other sampling within the Monument. These species are also currently under study by the applicant through support from Hawaii DAR in the main Hawaiian Islands. A major "value added" component to this research is that the applicant would also be performing this same research on species already being sampled for genetic analysis by other researchers at HIMB. This synergistic approach allows for maximal use of specimens, and would provide a contribution to a growing database on reef fish life history in the state of Hawaii.

In the field, SCUBA divers equipped with spear poles would target species along the reef. A "blue water" rule would be followed, meaning that if one cannot see water behind the target specimen no shot would be taken. This greatly reduces accidental reef strikes. Upon returning to the ship, all specimens would immediately be sampled for genetic analysis according to established protocols (a fin clip would be taken and preserved in DMSO/NaCl buffer). Most specimens would then be bagged, labeled, and frozen for laboratory analysis. For a subset of the species, gonads would be removed aboard the ship, weighed, and preserved in a 10% buffered formalin solution for later histological examination of their microstructure. In the laboratory, specimens would be measured, weighed, and sagittal otoliths (ear "bones" used to age fishes) would be removed following standard methods.

In addition to the characterization of the population parameters within the Monument, the data collected would be critical in a comparative study of impacted versus non-impacted populations of reef fishes. The Monument provides an unprecedented setting to test the effects of human impacts on life history parameters and population structure of these characters. Through a collaborative effort with researchers at various agencies within the state of Hawaii, the applicant aims to compare his data with similar data from the main Hawaiian Islands. This would lead to an explicit understanding of the impact that fishing pressure has on the age structure, maximum size, and relative timing of reproduction for Hawaiian reef fishes.

The activities proposed by the applicant directly support the Monument Management Plan's priority management needs 3.1 – Understanding and Interpreting the NWHI (through action plan 3.1.1 – Marine Conservation Science).

The activities described above may require the following regulated activities to occur in State waters:

- ☒ Removing, moving, taking, harvesting, possessing, injuring, disturbing, or damaging any living or nonliving monument resource
- ☒ Possessing fishing gear except when stowed and not available for immediate use during passage without interruption through the Monument

- ☒ Swimming, snorkeling, or closed or open circuit SCUBA diving within any Special Preservation Area or Midway Atoll Special Management Area

REVIEW PROCESS:

The permit application was sent out for review and comment to the following scientific and cultural entities: Hawaii Division of Aquatic Resources, Hawaii Division of Forestry and Wildlife, Papahānaumokuākea Marine National Monument (NOAA/NOS), NOAA Pacific Islands Regional Office (NOAA-PIRO), United States Fish and Wildlife Service Hawaiian and Pacific Islands National Wildlife Refuge Complex Office, and the Office of Hawaiian Affairs (OHA). In addition, the permit application has been posted on the Monument Web site since March 11th, giving the public an opportunity to comment. The application was posted within 40 days of its receipt, in accordance with the Monument's Public Notification Policy.

Comments received from the scientific community are summarized as follows:

Scientific reviews support the acceptance of this application.

Concerns raised were:

1. If there was by-catch while spearing fish in past years
2. If samples of *Priacanthus meeki* and *Myripristis berndti* will be used in genetic studies by Bowen et al.
3. What the anticipated long-term timeline for collections in the Monument looks like

Comments received from the Native Hawaiian community are summarized as follows:

Cultural reviews support the acceptance of this application. No concerns were raised.

Comments received from the public are summarized as follows:

No comments were received from the public on this application.

Additional reviews and permit history:

Are there other relevant/necessary permits or environmental reviews that have or will be issued with regard to this project? (e.g. MMPA, ESA, EA) Yes ☒ No ☐

If so, please list or explain:

- The proposed activities are in compliance with the National Environmental Policy Act.

Has Applicant been granted a permit from the State in the past? Yes ☒ No ☐

If so, please summarize past permits:

- The applicant was granted permits PMNM-2007-034 and PMNM-2008-042 to conduct similar work in 2007 and 2008.

Have there been any a) violations: Yes ☐ No ☒
b) Late/incomplete post-activity reports: Yes ☐ No ☒

Are there any other relevant concerns from previous permits? Yes ☐ No ☒

RESPONSE:

1. The applicant points out that the choice to use spears as a collection method over nets, traps, or hook and line, is based in large part on the ability to directly target a particular individual. For this reason, they have had no instances of bycatch, and do not anticipate bycatch in future collections.
2. All fishes collected will have a tissue sample removed for future genetic analysis.
3. The applicant states that life history studies require somewhat large sample sizes to achieve statistical confidence in data. With each round of collecting, they add the data to the analysis and determine the confidence and statistical rigor of the dataset. This process will occur following each round until an appropriate stopping point is reached that maximizes statistical rigor and minimizes the impact of the collections. Therefore, a final quota for collections cannot be determined at this time.

STAFF OPINION:

DAR staff is of the opinion that Applicant has properly demonstrated valid justifications for his application and should be allowed to enter the NWHI State waters and to conduct the activities therein as specified in the application with the following special instructions and conditions, which are in addition to the Papahānaumokuākea Marine National Monument Research Permit General Conditions. The following special conditions have been vetted through the legal counsel of the Co-Trustee agencies.

1. This permit is not to be used for nor does it authorize the sale of collected organisms. Under this permit, the authorized activities must be for noncommercial purposes not involving the use or sale of any organism, by-products, or materials collected within the Monument for obtaining patent or intellectual property rights.
2. The permittee may not convey, transfer, or distribute, in any fashion (including, but not limited to, selling, trading, giving, or loaning) any coral, live rock, or organism collected under this permit without the express written permission of the Co-Trustees.

3. To prevent introduction of disease or the unintended transport of live organisms, the permittee must comply with the disease and transport protocols attached to this permit.
4. Tenders and small vessels must be equipped with engines that meet EPA emissions requirements.
5. Refueling of tenders and all small vessels must be done at the support ships and outside the confines of lagoons or near-shore waters in the State Marine Refuge
6. No fishing is allowed in State Waters except as authorized under State law for subsistence, traditional and customary practices by Native Hawaiians.

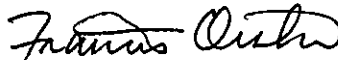
MONUMENT MANAGEMENT BOARD OPINION:

The MMB is of the opinion that the Applicant has met the findings of Presidential Proclamation 8031 and this activity may be conducted subject to completion of all compliance requirements. The MMB concurs with the special conditions recommended by DAR staff.

RECOMMENDATION:


"That the Board authorize and approve, with stated conditions, a Research Permit to Matthew Craig, Hawaii Institute of Marine Biology."

Respectfully submitted,



 DAN POLHEMUS
Administrator

APPROVED FOR SUBMITTAL



LAURA H. THIELEN
Chairperson

Papahānaumokuākea Marine National Monument
RESEARCH Permit Application

NOTE: *This Permit Application (and associated Instructions) are to propose activities to be conducted in the Papahānaumokuākea Marine National Monument. The Co-Trustees are required to determine that issuing the requested permit is compatible with the findings of Presidential Proclamation 8031. Within this Application, provide all information that you believe will assist the Co-Trustees in determining how your proposed activities are compatible with the conservation and management of the natural, historic, and cultural resources of the Papahānaumokuākea Marine National Monument (Monument).*

ADDITIONAL IMPORTANT INFORMATION:

- Any or all of the information within this application may be posted to the Monument website informing the public on projects proposed to occur in the Monument.
- In addition to the permit application, the Applicant must either download the Monument Compliance Information Sheet from the Monument website OR request a hard copy from the Monument Permit Coordinator (contact information below). The Monument Compliance Information Sheet must be submitted to the Monument Permit Coordinator after initial application consultation.
- Issuance of a Monument permit is dependent upon the completion and review of the application and Compliance Information Sheet.

INCOMPLETE APPLICATIONS WILL NOT BE CONSIDERED

Send Permit Applications to:

Papahānaumokuākea Marine National Monument Permit Coordinator
6600 Kalaniana'ole Hwy. # 300
Honolulu, HI 96825
nwhipermit@noaa.gov
PHONE: (808) 397-2660 FAX: (808) 397-2662

SUBMITTAL VIA ELECTRONIC MAIL IS PREFERRED BUT NOT REQUIRED. FOR ADDITIONAL SUBMITTAL INSTRUCTIONS, SEE THE LAST PAGE.

Papahānaumokuākea Marine National Monument Permit Application Cover Sheet

This Permit Application Cover Sheet is intended to provide summary information and status to the public on permit applications for activities proposed to be conducted in the Papahānaumokuākea Marine National Monument. While a permit application has been received, it has not been fully reviewed nor approved by the Monument Management Board to date. The Monument permit process also ensures that all environmental reviews are conducted prior to the issuance of a Monument permit.

Summary Information

Applicant Name: Matthew Thomas Craig

Affiliation: Hawaii Institute of Marine Biology

Permit Category: Research

Proposed Activity Dates: 6/2009, 8/2009

Proposed Method of Entry (Vessel/Plane): Vessel (Hi'ialakai)

Proposed Locations: Various-to be determined by cruise schedule

Estimated number of individuals (including Applicant) to be covered under this permit:

~ 4 (to be determined by berthing and cruise schedule)

Estimated number of days in the Monument: ~60 (TBD by cruise schedule)

Description of proposed activities: (complete these sentences):

a.) The proposed activity would...

Collect two species of reef fishes to compile life history data (age, growth, reproduction) that is currently unknown.

b.) To accomplish this activity we would

Collect fishes from reefs within the monument and return them to the laboratory for analysis

c.) This activity would help the Monument by ...

Providing data that are directly relevant to management activities for three species of fishes important to Hawaii's coastal fisheries, and demonstrate probable differences in life history characters in organisms residing in protected and unprotected areas

Other information or background:

Section A - Applicant Information

1. Applicant

Name (last, first, middle initial): Craig, Matthew T.

Title: Post-doctoral Research Fellow

1a. Intended field Principal Investigator (See instructions for more information):

To Be Determined - Senior personnel will be appointed upon finalization of cruise schedule and berth assignments. Likely candidates are: Brian Bowen, Matthew Craig, Erik Franklin, Randy Kosaki, Carl Meyer.

2. Mailing address (street/P.O. box, city, state, country, zip):

[REDACTED]

Fax: [REDACTED]

Email: [REDACTED]

For students, major professor's name, telephone and email address:

3. Affiliation (institution/agency/organization directly related to the proposed project):

Hawaii Institute of Marine Biology

4. Additional persons to be covered by permit. List all personnel roles and names (if known at time of application) here (e.g. John Doe, Research Diver; Jane Doe, Field Technician):

To Be Determined upon finalization of cruise schedule and berth assignments

Section B: Project Information

5a. Project location(s):

<input checked="" type="checkbox"/> Nihoa Island	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input checked="" type="checkbox"/> Necker Island (Mokumanamana)	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input checked="" type="checkbox"/> French Frigate Shoals	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input checked="" type="checkbox"/> Gardner Pinnacles	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input checked="" type="checkbox"/> Maro Reef			
<input checked="" type="checkbox"/> Laysan Island	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input checked="" type="checkbox"/> Lisianski Island, Neva Shoal	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input checked="" type="checkbox"/> Pearl and Hermes Atoll	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input checked="" type="checkbox"/> Midway Atoll	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input checked="" type="checkbox"/> Kure Atoll	<input type="checkbox"/> Land-based	<input checked="" type="checkbox"/> Shallow water	<input type="checkbox"/> Deep water
<input type="checkbox"/> Other			

NOTE: There is a fee schedule for people visiting Midway Atoll National Wildlife Refuge via vessel and aircraft.

Location Description:

Location**	Longitude	Latitude
Kure Atoll	178.19706492000	28.55825235580
	178.19623585400	28.29958375730
	178.45987884800	28.29958375730
	178.46070791400	28.55742328970
Midway Atoll	177.19638223300	28.37419969920
	177.19721129900	28.13377055310
	177.52800864100	28.13459961920
	177.52800864100	28.37419969920
P& H Atoll	176.08850981800	28.04643025580
	175.63289162600	28.04539944540
	175.63289162600	27.70729363750
	176.08954062900	27.70626282710
Maro	189.163168767509,	25.6896206996621
	189.163168767509,	25.2507640984811
	189.695813271361,	25.2507640984811
	189.695813271361,	25.6896206996621
Necker	195.174692176864,	23.6785193745272
	195.608149908867,	23.4949899389458
	195.608149908867,	23.6785193745272
	195.174692176864,	23.4949899389458

Lisianski	173.67292570900	26.25150771120
	173.67292570900	25.83942708400
	174.23095155800	25.83942708400
	174.23095155800	26.25150771120
Laysan	171.47900122300	25.96027179830
	171.47725234300	25.65596666490
	171.97918092500	25.65771554490
	171.97918092500	25.96202067840
Gardner Pin.	167.74832319300	25.26070709440
	167.75087047400	24.34878019150
	168.36221811900	24.35132747340
	168.36476540100	25.26070709440
FFS	165.93465851400	23.94630965900
	165.93465851400	23.56421738120
	166.45685129400	23.56421738120
	166.45685129400	23.94630965900
Nihoa Island	161.66031956700	23.23816530420
	161.66286684900	22.94013332760
	162.05005369100	22.94268060940
	162.05260097200	23.23561802240

****Note that exact locations will be unknown until cruise schedule for the NOAA Ship Hi'ialakai is finalized. Exact sites at each location are chosen based on weather and sea state conditions and cannot be explicitly identified prior to cruise. The GPS coordinates given approximate a rectangle surrounding each island/atoll at the 100fa contour (in decimal degrees, projection geographic, datum WGS84).**

5b. Check all applicable regulated activities proposed to be conducted in the Monument:

- ☒ Removing, moving, taking, harvesting, possessing, injuring, disturbing, or damaging any living or nonliving Monument resource
- ☐ Drilling into, dredging, or otherwise altering the submerged lands other than by anchoring a vessel; or constructing, placing, or abandoning any structure, material, or other matter on the submerged lands
- ☐ Anchoring a vessel
- ☐ Deserting a vessel aground, at anchor, or adrift
- ☐ Discharging or depositing any material or matter into the Monument
- ☐ Touching coral, living or dead
- ☒ Possessing fishing gear except when stowed and not available for immediate use during passage without interruption through the Monument
- ☐ Attracting any living Monument resource
- ☐ Sustenance fishing (Federal waters only, outside of Special Preservation Areas, Ecological Reserves and Special Management Areas)
- ☐ Subsistence fishing (State waters only)
- ☒ Swimming, snorkeling, or closed or open circuit SCUBA diving within any Special Preservation Area or Midway Atoll Special Management Area

6 Purpose/Need/Scope *State purpose of proposed activities:*

The primary goal of this research is to compile life history data for a suite of reef fishes within the Northwestern Hawaiian Islands Marine National Monument (the Monument). We aim to determine 1) the relationship between age and growth, 2) the age/size at first sexual maturity, and 3) the relationships among morphological measurements commonly used in fisheries science (e.g., standard length to total length, length to weight). We propose to examine two reef species that have been identified as important to both the ecology of Hawaii's coral reefs and to the fishing preference of many residents of the state of Hawaii ('āweoweo, *Priacanthus meeki*, and 'ū'ū, *Myripristis berndti*). These species represent two commonly taken reef species in Hawaii, and represent the meso-carnivorous trophic level that is not well represented by other sampling in within the monument. Additionally, these species are currently under study by M. Craig and E. Franklin through support from Hawaii DAR in the main Hawaiian Islands. A major "value added" component to this research is that we are also performing this same research on species already being sampled for genetic analysis by B. Bowen and colleagues. This synergistic approach will allow for maximal use of specimens that unavoidably must be sacrificed, and will provide a contribution to a growing database on reef fish life history in the state of Hawaii.

These data are critical to effectively manage fisheries. Determining the age structure of populations is a necessary first step in 1) setting appropriate size limits for fisheries species, 2) more fully understanding the impacts of fishing pressure, and 3) developing conservation priorities to ensure the persistence of vulnerable reef species. When correlated with an estimate of age of first maturity (and by extrapolation first reproductive effort) these data become increasingly important.

In addition to the characterization of the population parameters within the Monument, our data will be critical in a comparative study of impacted versus non-impacted populations of reef fishes. The Monument provides an unprecedented setting to test the effects of human impacts on life history parameters and population structure of these characters. Through a collaborative effort with researchers at various agencies within the state of Hawaii, we aim to compare our data with similar data from the main Hawaiian Islands. This will lead to an explicit understanding of the impact that fishing pressure has on the age structure, maximum size, and relative timing of reproduction for Hawaiian reef fishes.

7. Answer the Findings below by providing information that you believe will assist the Co-Trustees in determining how your proposed activities are compatible with the conservation and management of the natural, historic, and cultural resources of the Monument:

The Findings are as follows:

a. How can the activity be conducted with adequate safeguards for the cultural, natural and historic resources and ecological integrity of the Monument?

The proposed research procedures will be conducted with adequate safeguards toward the resources and ecological integrity of the Monument. Prior to the research cruise, project team members will be educated on cultural issues relevant to the Papahānaumokuākea Marine National Monument to gain an understanding of the importance of the unique marine communities that they will be privileged to work in during the trip. Research divers on the

project team will also possess adequate collections experience and taxonomic identification skills to efficiently perform activities while minimizing their impact to the marine environment. In addition, the research team will review and adhere to regulatory guidelines and function with professionalism in pursuit of the research objectives.

b. How will the activity be conducted in a manner compatible with the management direction of this proclamation, considering the extent to which the conduct of the activity may diminish or enhance Monument cultural, natural and historic resources, qualities, and ecological integrity, any indirect, secondary, or cumulative effects of the activity, and the duration of such effects?

The Monument provides an unprecedented setting to test the effects of human impacts on life history parameters of reef fishes. The unique positioning of the world's largest marine protected area immediately adjacent to one of the most impacted coral reef ecosystems in the United States provides an opportunity to assess impacts on many communities without inherent biases due to geographical separation. The Monument therefore is an essential area to sample in order to make robust, scientifically based conclusions regarding the impact fisheries may have on the age structure and reproductive effort of many reef fish species.

This research will be conducted in a manner compatible with the management direction of the Monument and will considerably enhance Monument resources and ecological integrity. The development of management strategies that take into consideration age structure of populations and correlate those with age at first maturity will elucidate the degree to which fishing pressure in impacted areas influences these population parameters. This can be communicated in terms of potential reproductive output lost from harvesting individuals before they reach a reproductive age. Understanding the potential reproductive output along with a measure of baseline population age structure will allow resource managers to gauge the value of the resources within the Monument boundaries by combining our data with population abundance and size frequency data generated by programs such as RAMP.

While this research does require sacrificing a limited number of individuals, it should be noted that these individuals will also be used in a synergistic manner with other research projects. Most of the species of interest are also being used for genetic analysis by B. Bowen and colleagues, and the specimens will be available for any other ongoing studies that can utilize the material, thus we are maximizing the use of each and every individual that is sacrificed within the reserve. Therefore, the benefits of this research are maximized and greatly outweigh the costs of the extremely limited harvest..

c. Is there a practicable alternative to conducting the activity within the Monument? If not, explain why your activities must be conducted in the Monument.

The basis of our sampling design is to understand how 1) impacted communities may respond differentially to non-impacted communities and 2) how organisms respond to gradients of ecological factors such as temperature. The former is directly relevant to management issues; if impacted communities show altered life history traits, conservation efforts (e.g., regulations) must be set with this in mind. The latter has direct relevance to the management of the monument as well as theoretical implications; where should new protected be set so as to encompass a set of conditions (ecological or otherwise) that maximize ecosystem health.

d. How does the end value of the activity outweigh its adverse impacts on Monument cultural, natural and historic resources, qualities, and ecological integrity?

The impact of the removal of a limited number of specimens from the monument will have less impact than a typical take by a weekend fisherman.

e. Explain how the duration of the activity is no longer than necessary to achieve its stated purpose.

The duration of this activity is determined by the schedule of the NOAA Ship Hi'ialakai.

f. Provide information demonstrating that you are qualified to conduct and complete the activity and mitigate any potential impacts resulting from its conduct.

Dr. Craig has a Ph.D. in marine biology and has been conducting marine field research since 1995. Dr. Craig has numerous, peer reviewed publications covering a wide array of research topics including fish life history. Dr. Craig has also conducted research within the monument on several occasions since 2005. (See attached CV for more details).

g. Provide information demonstrating that you have adequate financial resources available to conduct and complete the activity and mitigate any potential impacts resulting from its conduct.

This activity is financially supported by the Papahanamokuakea Marine National Monument/Hawaii Institute of Marine Biology partnership

h. Explain how your methods and procedures are appropriate to achieve the proposed activity's goals in relation to their impacts to Monument cultural, natural and historic resources, qualities, and ecological integrity.

Our methods are preferable in that they allow for directed sampling of individuals, rather than other methods which are non-discrete. We are therefore able to specifically target exactly the species and size range of fishes to fully compliment existing data

i. Has your vessel has been outfitted with a mobile transceiver unit approved by OLE and complies with the requirements of Presidential Proclamation 8031?

See NOAA Ship Hi'ialakai records.

j. Demonstrate that there are no other factors that would make the issuance of a permit for the activity inappropriate.

8. Procedures/Methods:

Field Collections: In the field, our collections will rely on the same methods proposed by Bowen and colleagues for the reef fish genetics sampling. Briefly, SCUBA divers equipped with spear poles will target species along the reef. A "blue water" rule will be enacted meaning that if one cannot see water behind the target specimen no shot will be taken. This greatly reduces accidental reef strikes. Specimens will be returned to the ship for processing.

Data acquisition: Aboard the NOAA ship Hi'ialakai, samples will be processed according the following procedure. All specimens will immediately be sampled for genetic

analysis according to the protocols of B. Bowen and colleagues (briefly, a fin clip will be taken and preserved in DMSO/NaCl buffer). Most specimens will then be bagged, labeled, and frozen for laboratory analysis. For a subset of the species, gonads will be removed aboard the ship, weighed to the nearest gram, and preserved in a 10% buffered formalin solution for use in histological examination of their microstructure. Individuals will then be labeled, bagged, frozen and returned to the lab for further processing.

In the laboratory, specimens will be measured to nearest millimeter (both total and standard length), weighed to the nearest gram, and sagittal otoliths will be removed following the methods of Craig et al. (Bull. Mar. Sci. 65[3],1999). Otoliths will be stored dry for later use. Gonads will be visually sexed and if in suitable condition will be removed and preserved in 10% formalin for later use in histological preparations. Additional soft part (e.g., liver, heart, muscle) will be dissected and stored as necessary to be used in any other relevant studies, including ciguatera studies, lipid analysis, and stable isotope analysis by researchers throughout the state.

Following removal, otoliths will either be mounted on wooden blocks using cyanoacrylate adhesive and sectioned using a Buehler-Isomet low speed, double-diamond bladed saw or embedded in resin and ground with 100grit sand paper. These methods allow for the visualization of annuli which give a direct measure of the individual's age.

NOTE: If land or marine archeological activities are involved, contact the Monument Permit Coordinator at the address on the general application form before proceeding, as a customized application will be needed. For more information, contact the Monument office on the first page of this application.

9a. Collection of specimens - collecting activities (would apply to any activity): organisms or objects (List of species, if applicable, attach additional sheets if necessary):

Common name:
'Āweoweo, 'ū'ū

Scientific name:
Priacanthus meeki, *Myripristis berndti*

& size of specimens:
25 of each species from each collecting locality; various sizes

Collection location:
Various, see above.

☒ Whole Organism ☐ Partial Organism

9b. What will be done with the specimens after the project has ended?

Following removal and archival of necessary structures, specimens will be discarded

9c. Will the organisms be kept alive after collection? ☐ Yes ☒ No

- General site/location for collections:

N/A.

- Is it an open or closed system? ☐ Open ☐ Closed

N/A

- Is there an outfall? ☐ Yes ☐ No

N/A

- Will these organisms be housed with other organisms? If so, what are the other organisms?

N/A

- Will organisms be released?

N/A

10. If applicable, how will the collected samples or specimens be transported out of the Monument?

Frozen

11. Describe collaborative activities to share samples, reduce duplicative sampling, or duplicative research:

Our sampling will include taking a fin clip for genetic analysis conducted by B. Bowen and colleagues. Additionally, our life history work is in direct collaboration with Hawaii DAR who has support a Local Action Strategy to develop a robust set of life history characters to aid in management of these important resources

12a. List all specialized gear and materials to be used in this activity:

N/A

12b. List all Hazardous Materials you propose to take to and use within the Monument:

Formalin

13. Describe any fixed installations and instrumentation proposed to be set in the Monument:

N/A

14. Provide a time line for sample analysis, data analysis, write-up and publication of information:

This project is ongoing. Initial data acquisition will commence immediately upon return of vessel. Planned dissemination of preliminary information is 11/2/2009

15. List all Applicants' publications directly related to the proposed project:

(5 of 30)

Craig, M. T. 2007. Preliminary observations on the life history of the white-streaked grouper, *Epinephelus ongus* (Serranidae), from Okinawa, Japan. *Ichthyological Research* 54(1):81-84.

Craig, M. T., and D. J. Pondella, II. 2006. A survey of the fishes of the Cabrillo National Monument, San Diego, California. *California Fish and Game*. 92(4):172-183.

Craig, M. T., F. J. Fodrie, and P. A. Hastings. 2003. The nearshore fish assemblage of the Scripps Coastal Reserve. *Coastal Management* 32:341-351.

Craig, M. T., D. J. Pondella, II, and J. C. Hafner, 1999. Analysis of Age and Growth in two Eastern Pacific Groupers (Serranidae: Epinephelinae). *Bulletin of Marine Science* 65(3):807-814.

Erik C. Franklin, C. V. Brong, A. R. Dow, and M. T. Craig. 2008. Length-weight and length-length relationships of three endemic butterflyfish species (Chaetodontidae) from coral reefs of the Northwestern Hawaiian Islands, USA. In Press. *Journal of Applied Ichthyology*.

With knowledge of the penalties for false or incomplete statements, as provided by 18 U.S.C. 1001, and for perjury, as provided by 18 U.S.C. 1621, I hereby certify to the best of my abilities under penalty of perjury of that the information I have provided on this application form is true and correct. I agree that the Co-Trustees may post this application in its entirety on the Internet. I understand that the Co-Trustees will consider deleting all information that I have identified as "confidential" prior to posting the application.

Signature

Date

**SEND ONE SIGNED APPLICATION VIA MAIL TO THE MONUMENT OFFICE
BELOW:**

Papahānaumokuākea Marine National Monument Permit Coordinator
6600 Kalaniana'ole Hwy. # 300
Honolulu, HI 96825
FAX: (808) 397-2662

DID YOU INCLUDE THESE?

- ☒ Applicant CV/Resume/Biography
- ☒ Intended field Principal Investigator CV/Resume/Biography
- ☒ Electronic and Hard Copy of Application with Signature
- ☒ Statement of information you wish to be kept confidential
- ☒ Material Safety Data Sheets for Hazardous Materials

Papahānaumokuākea Marine National Monument Compliance Information Sheet

1. Updated list of personnel to be covered by permit. List all personnel names and their roles here (e.g. John Doe, Diver; Jane Doe, Field Technician, Jerry Doe, Medical Assistant):

While the final crew list has not been prepared, below is a list of potential scientific crew. All activities covered under this permit will be conducted by a subset of the following persons. All are certified divers with advanced (graduate level or higher) scientific training:

Matthew Craig (PI), research diver and collector, HIMB
Brian Bowen, research diver and collector
Randy Kosaki, Chief Scientist, research diver and collector
Robert Toonen, research diver and collector, HIMB
Stephen Karl – research diver and collector, HIMB
Zoltan Szabo, research diver and collector, HIMB
Toby Daly Engel, research diver and collector, HIMB
Tonatiuh Trejo, research diver and collector, HIMB
Jeff Eble, HIMB, research diver and collector
Kimberly Tenggardjaja – research diver and collector, Univ. Cal. Santa Cruz
Michelle Gaither – research diver and collector, HIMB
Kelvin Gorospe – research diver and collector, HIMB
Jill Zamzow – research diver and collector, HIMB
Ben Wainwright – research diver and collector, HIMB
Carl Meyer, research diver and collector, HIMB
Erik Franklin, research diver and collector, HIMB

Other potential researchers who may assist collections under our supervision: Matthew Iacchei, Toonen Lab; Derek Skillings, Toonen Lab; Michael Stat, Gates Lab; Xavier Ponchon, Gates Lab; Yannis Papastamatiou, Holland Lab; Luiz Rocha, Bowen Lab; Elizabeth Keenan, Monument Staff Member; Jennifer Salerno, Rappe Lab; Megan Hugget, Rappe Lab; Daniel Wagner, Toonen Lab; Jon Puritz, Toonen Lab; Greg Concepcion, Toonen Lab.

2. Specific Site Location(s): (Attach copies of specific collection locations):

Two cruises aboard the NOAA Ship Hiialakai have been scheduled during the dates covered by this permit application. The first cruise (6/9-7/3/09) has been scheduled in detail, however the second cruise (likely August, 2009) has not been scheduled in detail. The following is a list of locations that we anticipate sampling during the first cruise. GPS boundaries given approximate a rectangle around each locality at the 100 fathom

depth contour. Exact localities of collection sites cannot be given as these are determined daily to best accommodate weather conditions and safety considerations:

French Frigate Shoals	-165.93465851400	23.94630965900
French Frigate Shoals	-165.93465851400	23.56421738120
French Frigate Shoals	-166.45685129400	23.56421738120
French Frigate Shoals	-166.45685129400	23.94630965900
Maro Reef	-170.18133220600	25.69968866680
Maro Reef	-170.17958332600	25.21524888540
Maro Reef	-171.00505472200	25.21524888540
Maro Reef	-171.00505472200	25.69968866680
Pearl and Hermes Atoll	-176.08850981800	28.04643025580
Pearl and Hermes Atoll	-175.63289162600	28.04539944540
Pearl and Hermes Atoll	-175.63289162600	27.70729363750
Pearl and Hermes Atoll	-176.08954062900	27.70626282710
Midway Atoll	-177.19638223300	28.37419969920
Midway Atoll	-177.19721129900	28.13377055310
Midway Atoll	-177.52800864100	28.13459961920
Midway Atoll	-177.52800864100	28.37419969920
Laysan Island	-171.47900122300	25.96027179830
Laysan Island	-171.47725234300	25.65596666490
Laysan Island	-171.97918092500	25.65771554490
Laysan Island	-171.97918092500	25.96202067840

3. Other permits (list and attach documentation of all other related Federal or State permits):

NONE.

3a. For each of the permits listed, identify any permit violations or any permit that was suspended, amended, modified or revoked for cause. Explain the circumstances surrounding the violation or permit suspension, amendment, modification or revocation.

N/A

4. Funding sources (Attach copies of your budget, specific to proposed activities under this permit and include funding sources. See instructions for more information):

This research is funded primarily by NWHIMNM-HIMB partnership, but additional funding comes from a variety of sources including Hawaii SeaGrant, NOAA, and the National Science Foundation. The HIMB currently has all of the lab equipment and expertise to successfully complete the analysis for this project. As detailed in the initial permit application there are adequate finances to complete this work. Detailed budget information is available upon request from the Monument Permit Coordinators.

5. Time frame:

Activity start: 1 January 2007

Activity completion: Ongoing research (anticipated end 2010-11)

Dates actively inside the Monument:

From: 9 June 2009

To: 3 July 2009

From: 6 August 2009 (anticipated)

To: 4 September 2009 (anticipated)

Describe any limiting factors in declaring specific dates of the proposed activity at the time of application: NOAA Ship Hiialakai cruise schedule has yet to be determined.

All dates are tentative and dependent upon ship and weather conditions. Ocean conditions strongly influence the dates that vessels can enter Monument waters, as well as when research can be conducted while in the Monument waters. Dates are also dependent on vessel and personnel schedules. Co-trustees will be notified of any changes to the dates currently provided

Personnel schedule in the Monument:

All personnel will remain on the NOAA vessel Hi'ialakai (or on small boats that are transported to the Monument by the main vessel) throughout the cruise duration. No individual will go on land to conduct this research. Below is the anticipated schedule for the cruise in June:

6/09/09	Pearl Harbor	0900 hrs	500nm to FFS @9.5 kts ~ 53hrs
6/10/09	Transit	Transit	
6/11/09	Transit	Arrive FFS- half day of ops	
6/12/09	FFS	Full Day	
6/13/09	FFS	Full Day	
6/14/09	FFS	Full Day Depart 1830	257nm to Maro @9.5 kts ~ 27hrs
6/15/09	Transit	Arrive Maro pm	
6/16/09	Maro	Full Day	

6/17/09	Maro	Full Day depart 1830	317nm to P&H @ 9.5 kts ~33hrs
6/18/09	Transit	Arrive P&H pm	
6/19/09	P&H	Full Day	
6/20/09	P&H	Full Day	
6/21/09	P&H	Full Day depart 1830	85nm to Midway @ 9.5 kts ~9hrs
6/22/09	Midway	Arrive Midway-full day ops	
6/23/09	Midway	Full Day	
6/24/09	Midway	Full Day	
6/25/09	Midway	Full Day	
6/26/09	Midway	Half Day depart 1200 to Laysan	336nm to Laysan
		@9kts~37 hrs	
6/27/09	Transit	Transit	
6/28/09	Laysan	Arrive Laysan full day day	
6/29/09	Laysan	Full day depart 1830	804nm to HNL @9 kts ~ 89hrs
6/30/09	Transit	Transit	
7/01/09	Transit	Transit	
7/02/09	Transit	Transit	
7/03/09	Arrive Pearl Harbor	~1000	

6. Indicate (with attached documentation) what insurance policies, bonding coverage, and/or financial resources are in place to pay for or reimburse the Monument trustees for the necessary search and rescue, evacuation, and/or removal of any or all persons covered by the permit from the Monument:

All divers are requested to carry DAN insurance in addition to UH workers compensation that will cover any diving related injury or an accident that occurs while on a diving research cruise.

7. Check the appropriate box to indicate how personnel will enter the Monument:

☒ Vessel
☐ Aircraft

Provide Vessel and Aircraft information:

NOAA Ship Hi'ialakai

8. The certifications/inspections (below) must be completed prior to departure for vessels (and associated tenders) entering the Monument. Fill in scheduled date (attach documentation):

☐ Rodent free, Date:

- ☐ Tender vessel, Date:
- ☐ Ballast water, Date:
- ☐ Gear/equipment, Date:
- ☐ Hull inspection, Date:

9. Vessel information (NOTE: if you are traveling aboard a National Oceanic and Atmospheric Administration vessel, skip this question):

Vessel name:

Vessel owner:

Captain's name:

IMO#:

Vessel ID#:

Flag:

Vessel type:

Call sign:

Embarkation port:

Last port vessel will have been at prior to this embarkation:

Length:

Gross tonnage:

Total ballast water capacity volume (m3):

Total number of ballast water tanks on ship:

Total fuel capacity:

Total number of fuel tanks on ship:

Marine Sanitation Device:

Type:

Explain in detail how you will comply with the regulations regarding discharge in the Monument. Describe in detail. If applicable, attach schematics of the vessel's discharge and treatment systems:

Other fuel/hazardous materials to be carried on board and amounts:

Provide proof of a National Oceanic and Atmospheric Administration (NOAA) Office of Law Enforcement-approved Vessel Monitoring System (VMS). Provide the name and contact information of the contractor responsible for installing the VMS system. Also describe VMS unit name and type:

VMS Email:

Inmarsat ID#:

10. Tender information:

On what workboats (tenders) will personnel, gear and materials be transported within the Monument? List the number of tenders/skiffs aboard and specific types of motors:

NOAA Ship Hi'ialakai tenders

Additional Information for Land Based Operations

11. Proposed movement of personnel, gear, materials, and, if applicable, samples:

N/A

12. Room and board requirements on island:

N/A

13. Work space needs:

N/A

DID YOU INCLUDE THESE?

- ☐ Map(s) or GPS point(s) of Project Location(s), if applicable
- ☐ Funding Proposal(s)
- ☐ Funding and Award Documentation, if already received
- ☐ Documentation of Insurance, if already received
- ☐ Documentation of Inspections
- ☐ Documentation of all required Federal and State Permits or applications for permits